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TRANSMITTAL FORM

FORM (to be used for all correspondence after initial filing)

Application Number	10/829,476	
Filing Date	4-22-2004	
First Named Inventor	Yoshihisa NAGANO et al.	
Group Art Unit	2826	
Examiner Name		
Attorney Docket Number	740910 1052	

Signature

Typed or printed name

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Total Number of Pages in This Submission			Attorney Docket Number		740819-1052
ENCLOSURES (check all that apply)					
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Reque Information Disclosure States Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Part under 37 CFR 1.52 or 1.5	nent	Drawing Declarat Licensir Petition Applicat Power o Change Termina Request	tion and Power of Attorney ng-related Papers to Convert to a Provisional	00 0000 0 0	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Application Data Sheet Request for Corrected Filing Receipt with Enclosures A self-addressed prepaid postcard for acknowledging receipt Other Enclosure(s) (please identify below):
Remarks The Commissioner is hereby authorized to charge any additional for required or credit any overpayments to Deposit Account No. 19-2380 for above identified docket number.				authorized to charge any additional fees ats to Deposit Account No. 19-2380 for the	
	SIGNATUI	RE OF APPL	ICANT, ATTORNEY, O)R AC	GENT
Firm or Individual name Donald R. Studebaker, Reg. No. 32,815 Nixon Peabody LLP 401 9 th Street, N.W. Suite 900 Washington, D.C. 20004-2128					
Signature Den Company					
Date	September 17, 2004				
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being: deposited with the United States Postal Service on the date shown below with sufficient postage as first					
class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at					
(703)					

Date

Application No. 10/829,476 Docket No. 740819-1052

HE UNITED' STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Yoshihisa NAGANO et al.)
Application No. 10/829,476) Art Unit: 2826
Filed: April 2, 2004) Confirmation No. 5876
For: SEMICONDUCTOR DEVICE AND METHOD OF FABRICATING THE SAME)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying PTO-1449 be considered and made of record in the aboveidentified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

Donald R. Studebaker Reg. No. 32,815

Nixon Peabody LLP 401 9th Street N.W. Suite 900 -Washington, D. C. 20004 (202) 585-8000



Complete if Known Substitute for form 1449A/PTO Application Number 10/829,476 INFORMATION DISCLOSURE Filing Date 04/22/2004 STATEMENT BY APPLICANT Yoshihisa NAGANO et al. First Named Inventor (use as many sheets as necessary) 2826 Art Unit Examiner Name Sheet of Attorney Docket Number 740819-1052

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	U.S. Patent Document Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,567,636	10-22-1996	Jones, Jr.	
		US-			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Kind Code ³ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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		OTHER BRIOR	DT NON DATENT A	ITERATURE DOCUMENTS		
Examiner · Initials*	Cite No.1	Include name of the author	r (in CAPITAL LETTER nal, serial, symposium, c	as), title of the article (when appropriatalog, etc.)., date, page(s), volume ountry where published.	priate), title of the e-issue number(s),	T ²
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Examiner		Date	
Signature	<u> </u>	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.